



UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

August 18, 2006


THELEN REID & PRIEST LLP
P.O. BOX 640640
SAN JOSE, CA 95164-0640
US

Dear Sir/Madam,

Your refund request for 10086287 in the amount of \$370.00 has been denied .

The money was credited back to the account 03/08/02.

Sincerely,


VINCENT STUART
Technical Center Others
703 308-9210 x119

06-29-06

04:20pm

From:Thelen,Reid,&Priest LLP

4082878040

T-001

P.006/006 F-252

Please type a plus sign (+) inside the box → ☒

P10/SB/US (US-01)

Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No. PIZY-002

First Inventor WIGLEY ET AL.

Title METHOD, SYSTEM AND SOFTWARE PRODUCT FOR
RESTRICTING ACCESS TO NETWORK ACCESSIBLE DIGITAL
INFORMATION

Express Mail Label No. EL839724744US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification (Total Pages 26)
(preferred arrangement set forth below)
- Descriptive title of the invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table,
or a computer program listing appendix
- Background of the invention
- Brief Summary of the invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) (Total Sheets 5)
(Total Pages 4)
5. Oath or Declaration (Total Pages 4)
a. ☒ Newly executed (original or copy)
b. ☐ Copy from a prior application (37 CFR 1.63 (d))
(for a continuation/divisional with Box 18 completed)
c. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.53(d)(2) and 1.53(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO:Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
a. ☐ Computer Readable Form (CRF)
b. Specification Sequence Listing on:
i. ☐ CD-ROM or CD-R (2 copies); or
ii. ☐ paper
c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATIONS PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 C.F.R. §3.73(b) Statement ☐ Power of
(when there is an assignee) Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure ☐ Copies of IDS
Statement (IDS)/PTO-1449 Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122
(b)(2)(B)(i). Applicant must attach form PTO/SB/35
or its equivalent.
17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment,
or in an Application Data Sheet under 37 CFR 1.76:
☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)
of prior application No. _____ /
Group / Art UnitFor CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied
under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference.
The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.**17. CORRESPONDENCE ADDRESS**☐ Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or ☐ Correspondence address below

Name	DAVID B. RITCHIE				
	THELEN REID & PRIEST LLP				
Address	P.O. BOX 640640				
City	SAN JOSE	State	CA	Zip Code	95164-0840
Country	USA	Telephone	408-292-5800	Fax	408-287-8040

Name (Print/Type)	DAVID B. RITCHIE	Registration No. (Attorney/Agent)	31,562
Signature		Date	2/27/2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any
comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark
Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for
Patents, Box Patent Application, Washington, DC 20231.

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Thelen Reid & Priest LLP
Attorneys At Law225 West Santa Clara Street, Suite 1200
San Jose, CA 95113-1722

Tel 408 282.5600

Fax 408 287 8040

www.thelenreid.com

F A X C O V E R P A G E

Date: June 29, 2006

Total Pages: 6
(including cover)To: Refunds,
USPTO

Fax: 571.273.6500

Phone: 571-272-6500

From: Monica Pizarro

Fax: 408.278.8289

Phone: 408.282.1889

E-Mail: mpizarro@thelenreid.com

VIA FAX ONLY

Re: U.S. Patent Application

Serial No.: 10/086,287

Filed: February 28, 2002

Title: Method, System and Software Product for Restricting Access to Network Accessible Digital Information

TRP Docket No: PIZY-0002

To Whom It May Concern,

THIRD REQUEST

Please advise the status of a request for refund originally filed on April 9, 2003 (copies attached). I have also included a copy of the Utility Patent Application Transmittal as filed, which claims small entity status.

Regards,
Monica Pizarro
Secretary to
David B. Ritchie
Thelen Reid & Priest LLP
Direct Line: 408-282-1889

In case of a problem with this transmission, please call the Fax Operator at 408.282.1866

JOB #	ATTORNEY #	CLIENT-MATTER	RETURN TO	ROOM #
	41167	034072-002		

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Page : 001
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 Line 2 :
 Machine ID : Thelen,Reid,&Priest LLP

Job number : 195
 Date : 01-06 12:12
 To : 2411679340728002#157127365008
 Number of pages : 004
 Start time : 01-06 12:12
 End time : 01-06 12:14
 Pages sent : 004
 Status : OK
 Job number : 195

*** SEND SUCCESSFUL ***

Thelen Reid & Priest LLP
Attorneys At Law
 225 West Santa Clara Street, Suite 1200
 San Jose, CA 95113-1722
 Tel. 408.282.8889
 Fax 408.282.8889
 www.thelenreid.com

DOCKETED
 JAN - 8 2006

F A X C O V E R P A G E

Date: January 6, 2006

Total Pages: 4
(including cover)To: Refunds,
USPTOFax: 571.273.6500
Phone: 571.272.6500

From: Monica Pizarro

Fax: 408.275.8289
Phone: 408.282.1889
E-Mail: mpizarro@thelenreid.com

VIA FAX ONLY

Re: U.S. Patent Application Filed: February 22, 2003
 Serial No.: 10/045,387
 Title: Method, System and Software Product for Restricting Access to Network Accessible Digital Information
 TRF Docket No.: 222V-0002

To Whom It May Concern,

Please advise the status of a request for refund originally filed on April 9, 2003 (copies attached). I have also included a copy of the Utility Patent Application Transmittal as filed, which claims small entity status.

Regards,
 Monica Pizarro
 Secretary to
 David B. Ritchie
 Thelen Reid & Priest LLP
 Direct Line: 408-282-1889

In case of a problem with this transmission, please call the Fax Operator at 408.282.1886

DATE	REFERENCE #	QUEST/MATTER	NOTES TO	ROOM #
01/06/06	41187	094072-002		

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2V 0214033 v1

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Thelen Reid & Priest LLP
Attorneys At Law228 West Santa Clara Street, Suite 1200
San Jose, CA 95113-1723

Tel. 408.292.6800

Fax 408.287.8040

www.thelenreid.com

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F A X C O V E R P A G E

Date: January 6, 2006

Total Pages: 4
(including cover)To: Refunds,
USPTO

Fax: 571.273.6500

Phone: 571-272-6500

From: Monica Pizarro

Fax: 408.278.8289

Phone: 408.282.1889

E-Mail: mpizarro@thelenreid.com

V I A F A X O N L Y

Re: U.S. Patent Application

Serial No.: 10/086,287

Filed: February 28, 2002

Title: Method, System and Software Product for Restricting Access to Network Accessible Digital Information

TRP Docket No: PIZY-0002

To Whom It May Concern,

Please advise the status of a request for refund originally filed on April 9, 2003 (copies attached). I have also included a copy of the Utility Patent Application Transmittal as filed, which claims small entity status.

Regards,
Monica Pizarro
Secretary to
David B. Ritchie
Thelen Reid & Priest LLP
Direct Line: 408-282-1889

In case of a problem with this transmission, please call the Fax Operator at 408.282.1866

JOB #	ATTORNEY #	CLIENT-MATTER	RETURN TO	ROOM #
	41167	034072-002		

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SV #234623 v1

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Serial/Patent No.: 10/086,287

Filing/Issue Date: 2/28/02

Applicant: MARK RILEY

Title: METHOD SYSTEM AND SOFTWARE FOR RESTRICTING ACCESS TO NETWORK ACCESSIBLE DIGITAL INFORMATION

TRP Docket No.: FIZY-002

Atty/Secy Initials: DBR/mt

Date Mailed: 4-9-03

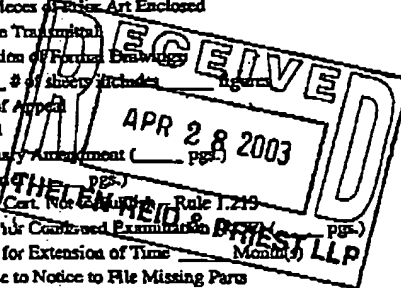
Docket Due Date:

The following has been received in the U.S. Patent & Trademark Office on the date stamped herein:

- ☐ Amendment/Response (____ pgs.)
- ☐ Appeal Brief (____ pgs.) (in triplicate)
- ☐ Application - Utility (____ pgs. with cover & abstract)
- ☐ Application - Rule 1.53(b) Continuation (____ pgs.)
- ☐ Application - Rule 1.53(b) Division (____ pgs.)
- ☐ Application - Rule 1.53(b) CIP (____ pgs.)
- ☐ Application - Rule 1.53(d) CPA (____ pgs.)
- ☐ Application - PCT (____ pgs.)
- ☐ Application - Provisional (____ pgs.)
- ☐ Assignment and Cover Sheet
- ☐ Certificate of Correction
- ☐ Certificate of Mailing
- ☐ Declaration & POA (____ pgs.)
- ☐ Fee Transmittal
- ☐ Drawings (informal):
_____ # of sheets includes _____ figures

- ☒ Other:
REQUEST FOR REUND OF FEES

- ☐ IDS & PTO 1449 (____ pgs.)
- ☐ _____ Pieces of Prior Art Enclosed
- ☐ Issue Fee Transmittal
- ☐ Submission of Foreign Drawings
_____ # of sheets includes _____ figures
- ☐ Notice of Appeal
- ☒ Postcard
- ☐ Preliminary Amendment (____ pgs.)
- ☐ Reply Brief (____ pgs.)
- ☐ Req and Cert. Not to Examine - Rule 1.213 (____ pgs.)
- ☐ Request for Continued Examination (____ pgs.)
- ☐ Request for Extension of Time (____ Months)
- ☐ Response to Notice to File Missing Parts
- ☐ Copy of PTO Notice to File Missing Parts
- ☐ Transmittal Letter (original & copy)
- ☐ Express Mail No.:
- ☐ Check(s) \$
- ☐ Deposit Acct. No. 50-1698 \$
- ☐ Patent Code:
- ☐ Client/Matter # 34072-2



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Mark Riley
SERIAL NO.: 10/086,287
FILING DATE: February 28, 2002
TITLE: METHOD, SYSTEM AND SOFTWARE FOR RESTRICTING
ACCESS TO NETWORK ACCESSIBLE DIGITAL
INFORMATION
EXAMINER: NOT YET ASSIGNED
ART UNIT: NOT YET ASSIGNED

Assistant Commissioner for Patents
Box Patents
Washington, D.C. 20231

REQUEST FOR REFUND OF FEES


Sir:

Applicant respectfully requests that the Patent and Trademark office issue a refund in the amount of \$370.00, payable to: THELEN REID & PRIEST LLP, which was paid in error. The application was erroneously paid as a large entity; Applicants status at the time of filing was and continues to be a small entity.

Please contact Applicant's attorneys at the address, telephone or telecopier number below if there are any matters whose resolution can be expedited thereby.

Respectfully submitted,
THELEN REID & PRIEST LLP

Dated: 4/8/2003


David B. Ritchie
Reg. No. 31,562

THELEN REID & PRIEST LLP
P.O. Box 640640
San Jose, CA 95164-0640
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